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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY.'S DOCKET: BARENHOLZ=1

## INFORMATION DISCLOSURE STATEMENT [IDS]

U.S. Patent and Trademark Office 2011 South Clark Place Customer Window, Mail Stop DD Crystal Plaza Two, Lobby, Room 1803 Arlington, VA 22202

This Information Disclosure Statement is submitted in accordance with 37 CFR §\$1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

1. This IDS should be considered, in accordance with 37 CFR §1.97, as it is filed:

(Check one of the boxes A-D)

[ ] A. within three months of the filing date of the above-identified national application or within three months of the entry into the national stage of the above-identified international application.

- [ ] B. before the mailing date of a first Office action on the merits or before the mailing of a first Office action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.
- [ ] C. after (A) and (B) above, but before final rejection or allowance, and Applicants have made the necessary certification (box "i" below) or paid the necessary fee (box "i" below).

(Check one of the boxes "i" and "ii" below:)

- [ ] i. Counsel certifies that, upon information and belief, each item of information listed herein was either
  - [ ] (a) first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
  - [ ] (b) not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, was not known to any individual designated in \$1.56(c) more than three months prior to the filing of this IDS.
- [] ii. Credit Card Payment Form, PTO-2038, is attached authorizing payment of the fee set forth in §1.17(p), presently believed to be \$180. If the enclosed payment is incorrect, please charge any additional fees or credit any overpayment to Deposit Account No. 02-4035.
- [X] D. after (A), (B) and (C) above, but before payment of the issue fee: Applicant(s) state as follows under 37 CFR \$1.97(e) for consideration of this IDS, that, upon

information and belief, each item of information listed herein was either

(Check one of the boxes "a" and "b" below)

- [ ] (a) first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- [ ] (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was not known to any individual designated in \$1.56(c) more than three months prior to the filing of this IDS.

Credit Card Payment Form, PTO-2038, is attached authorizing payment of the fee set forth in \$1.17(i), presently believed to be \$180 is enclosed. If the enclosed payment is incorrect, please charge any additional fees or credit any overpayment to Deposit Account No. 02-4035.

- 2.In accordance with 37 CFR \$1.98, this IDS includes a list (e.g., Form PTO/SB/08A) of all patents, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. A copy of each document listed is attached.
- 3.No explanation of relevance is necessary for documents in the English language (see reply to Comments 67 and 68 in the preamble to the final rules; 1135 OG 13 at 20).
- 4.Other information being provided for the examiner's consideration follows:

Attached is the corresponding International Searcher Report.

In re Appłn.'No. 09/780,757

5. In accordance with 37 CFR §\$1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in \$1.56 (b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserves the right to prove that the date of publication is in fact different.

Respectfully submitted,

BROWDY AND NEIMARK
Attorneys for Applicant(s)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	2

Complete if Known				
Application Number	09/780,757			
Filing Date	February 8, 2001	<del></del>		
First Named Inventor	BARENHOLZ	<del></del>		
Group Art Unit	1634			
Examiner Name	FREDMAN			
Attorney Docket Number	BARENHOLZ 1			

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Patent Number	t Document Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS							
		Foreign Patent Number		Name of Patentee or Applicant	Date of Publication			
Examiner Initials*	Cite No.1	Office <sup>3</sup>	Number	Kind Code <sup>5</sup> (if known)	of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	<b>⊤</b> ° ∣
	AB	PCT	WO 9 7 1 1 0 6 7		CIBA-GEIGY AG	03-27-1997		
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Examiner	Date	
Signature	Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. S Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	2	of	2

Complete if Known			
Application Number	09/780,757	<del></del>	
Filing Date	February 8, 2001	<del></del>	
First Named Inv ntor	BARENHOLZ	·	
Group Art Unit	1634		
Examiner Name	FREDMAN		
Attorney Docket Number	BARENHOLZ 1		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Τ²		
	AB	WU, et al., "Hydration and stability of sulfatide-containing phosphatidylethanolamine small unilamellar vesicles," <u>Biochimica et Biophysica Acta</u> 1416:285-294 (1999)			
	AC	NICHOLS, "Kinetics of fluorescent-labeled phosphatidylcholine transfer between nonspecific lipid transfer protein and phospholipid vesicles," <u>Biochemistry</u> 27:1889-1896 (1988)			
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Examir Signati		Date Considered			

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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